



DEPARTMENT OF THE NAVY
OFFICE OF THE SECRETARY
WASHINGTON, D.C. 20350-1000

SECNAVINST 5430.103
ASN (RD&A)
23 December 1992

SECNAV INSTRUCTION 5430.103

From: Secretary of the Navy

Subj: MISSIONS AND FUNCTIONS OF THE NAVY INTERNATIONAL PROGRAMS
OFFICE

Ref: (a) SECNAVINST 5400.15 of 5 Aug 91
(b) DODDIR 5120.49 of 14 Mar 90 (NOTAL)

1. Purpose. To issue the missions and functions of the Navy International Programs Office (IPO).

2. Cancellation. SECNAVINST 4900.47. SECNAVINST 4900.46A will be revised to ensure consistency with this instruction.

3. Background. Reference (a) established the position of Assistant Secretary of the Navy (Research, Development and Acquisition) (ASN (RD&A)). The responsibilities of the ASN (RD&A) include the development of policy and provision of management oversight for the Department of the Navy's (DON) international research, development, and acquisition (RD&A) efforts. The position of Deputy Assistant Secretary of the Navy (International Policy) (DASN (IP)) has been established to formulate and manage international policy for the ASN (RD&A). The Director, Navy International Programs Office (Director, Navy IPO), reports to the DASN (IP).

4. Mission. To implement departmental policies and manage international efforts concerning research, development, and acquisition for DON.

5. Functions. The Navy IPO will:

a. Serve as the principal office for implementing all DON international programs concerning security assistance; international programs in the areas of cooperative research, development, production, and in-service support; foreign comparative test and evaluation; foreign procurement; personnel exchange (except for those programs under the cognizance of the



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Chief of Naval Operations (CNO) or the Commandant of the Marine Corps (CMC)); foreign disclosure; and international technology transfer.

b. Coordinate and review DON international acquisition agreements and arrangements.

c. Provide technical support to the Technology Transfer and Security Assistance Review Board (TTSARB), the coordinating board for DON international program policy.

d. Assist the DASN (IP) in the development and coordination of plans and strategies for future DON international acquisition program efforts.

e. Prepare decision documents for signature by DASN (IP), ASN (RD&A), Secretary of the Navy, or other appropriate authority, for precedent setting or politically sensitive matters involving the transfer of technology (including TTSARB decision documents and other documents that recommend approval or denial of foreign military sales (FMS) or export licensing requests with potentially controversial provisions), or of significant financial value, or of major effect on the defense industrial base. The Director, Navy IPO, is hereby assigned the authority to approve or disapprove routine requests for access to or transfer of DON technical data or for disclosure of DON classified or sensitive unclassified information in accordance with national disclosure policy. Precedent setting or politically sensitive requests will be referred to higher authority to obtain guidance for Navy IPO action.

f. Manage the DON Security Assistance Program as authorized by the Foreign Assistance Act of 1961, the Arms Export Control Act of 1976, various international narcotics acts, and related annual authorization and appropriation acts, to include planning, development, initiation, implementation, and execution of FMS, grant aid, International Military Education and Training (IMET), and leases, etc., including participation by the Systems Commands, Program Executive Offices, and all DON organizational entities with security assistance responsibilities.

g. Develop and staff DON requests for exceptions to the National Disclosure Policy (NDP) and staff within DON those NDP exceptions sponsored by other agencies.

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h. Provide technical support to develop DON positions to the National Disclosure Policy Committee (NDPC).

i. Conduct DON reviews of export license requests and provide DON endorsements.

j. Coordinate and resolve (if not precedent setting or politically sensitive) in accordance with national disclosure policy requests for access by foreign nationals to classified or sensitive unclassified information or technology during visits or assignments to DON activities.

k. Conduct DON reviews and make release determinations in accordance with national disclosure policy for DON classified and sensitive unclassified information proposed for disclosure to foreign governments and organizations by any means.

l. Provide DON representation to the International Technology Transfer Panel established by reference (b).

m. Manage the DON foreign exchange programs of scientists, engineers, and data. In addition, provide disclosure authorizations for the personnel exchange programs managed by CNO and CMC.

n. Provide technical support to develop the DON position on actions before the Coordinating Committee for Multilateral Export Controls (COCOM).

o. Coordinate with CNO (N09N) on international programs aspects of foreign ownership, control and influence (FOCI) and Committee on Foreign Investment in the United States (CFIUS) issues.

p. Provide support for coordination of research, development, and acquisition issues arising from the North Atlantic Treaty Organization Council of National Armament Directors.

q. Manage international program funding as follows:

(1) In coordination with DASN (IP) and the Comptroller of the Navy, develop and allocate the DON FMS administrative budget among the various DON offices with security assistance responsibilities, and monitor budget execution.

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(2) In coordination with DASN (IP) and resource sponsors, develop and implement other international program budgets, including International Research, Development, Test and Evaluation (including International Defense Agency funding); Operation and Maintenance, Navy (BA-9/BA-10); and Military Assistance and Executive budgets associated with the international functions cited above.

r. Perform other duties as assigned by the ASN (RD&A) and DASN (IP), and assist the DASN (IP) in carrying out his duties and responsibilities.



Dan Howard
Under Secretary of the Navy

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